



100-13
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Siani Lynne Pearson, et al.) Re: Information Disclosure Statement
U.S. Appln. No.: 10/080,478) Group: 3713
U.S. Filing Date: February 22, 2002) Examiner: not yet assigned
For: "METHOD AND APPARATUS FOR)
INVESTIGATING TRANSACTIONS IN)
A DATA PROCESSING ENVIRONMENT") Our Ref: B-4519 619565-9
Date: February 19, 2003)

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

Please note that U.S. Patent No. 5,361,359 and WO Patent No. 00/54126, cited in the enclosed Search Reports for GB 0118455.5 and EP 01306524, were previously cited in IDS's filed on April 19, 2002 and May 6, 2002 for the subject application. Therefore, copies of these documents are not included in this IDS.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the European and United Kingdom Search Reports for a related European Patent Application No. EP 01306524, a related United Kingdom Patent Application No. GB 0118455.5, and a related United Kingdom Patent Application No. GB 0118574.3. Copies of the Search Reports (3 pages total) are enclosed herewith.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered

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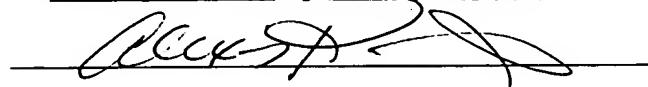
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on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.) The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231", on February 19, 2003 by Alexis Karriker.



Respectfully submitted,



Richard P. Berg
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Enclosures: Form PTO-1449 (modified) (2 pages)

Copy of Search Report for EP 01306524 (1 page)

Copy of Search Report for UK 0118455.5 (1 page)

Copy of Search Report for UK 0118574.3 (1 page)

Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4519 619565-9	U.S. SERIAL NO. 10/080,478
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Siani Lynne Pearson, et al.	
	FILING DATE February 22, 2002	GROUP 3713

U.S. PATENT DOCUMENTS

examiner initial	document number	issue date	name	class	sub-class	filing date or 102(e) date if appropriate
	2002/0012432 A1	Pub- lished: 1/2002	England et al.	380	231	6/28/01
	2002/0023212 A1	Pub- lished: 2/2002	Proudler	713	164	8/1/01
	5,032,979 A	7/1991	Hecht et al.	364	200	
	5,144,660	9/1992	Rose	380	4	
	5,440,723	8/1995	Arnold et al.	395	181	
	6,081,894	6/2000	Mann	713	188	
	6,327,652 B1	12/2001	England et al.	713	2	

FOREIGN PATENT DOCUMENTS

examiner initial	document number	publication date	country	class	subclass	translation yes/no
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	2 353 885 A	3/2001	GB			
	98/26529	6/1998	WO			
	00/31644	6/2000	WO			
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	00/48063	8/2000	WO			
	00/54125	9/2000	WO			
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Anderson, R., et al., "Tamper Resistance - a Cautionary Note," ISENIX Association, Second USENIX Workshop on Electronic Commerce, pp 1-11 (November 18-21, 1996).	
	Naor, M., et al., "Secure and Efficient Metering," Internet: < http://citeseer.nj.com/naor98secure.html > Sections 1-1.3 (1998).	
	Yee, B., "Using Secure Coprocessors," Doctoral thesis - Carnegie Mellon University, pp 1-94 (May 1994).	
	"HP Virtualvault: Trusted Web-server Platform Product Brief," Internet: < http://www.hp.com/security/products/virtualvault/papers/brief_4.0/ > pp 1-6.	
	"NIST Announces Technical Correction to Secure Hash Standard," Internet: < http://www.nist.gov/public_affairs/releases/hashstan.htm > pp 1-2 (October 24, 2002).	
	"Secure Computing with JAVATM: Now and the Future," Internet: < http://java.sun.com/marketing/collateral/security.html > pp 1-29 (April 2, 2002).	

<u>EXAMINER</u>	<u>DATE CONSIDERED</u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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